

Investor Profile Questionnaire



What kind of investor are
you?

Answer this questionnaire to find out.

This questionnaire helps you determine your personal investment style.

It measures your investment time frame, stage of life, financial situation, priorities and goals. There are no right or wrong answers--just what's right for you.

The questionnaire will translate your needs into an asset allocation designed to take you where you want to go over the long term.

It is intended to provide general guidance and should not be treated as specific advice. While your representative may provide you with factual information, you should make your fund allocation based on your own judgment and personal circumstances.



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Please PRINT clearly.

Sun Life Prosperity Mutual Funds are managed and distributed by Sun Life Asset Management Company, Inc. ("SLAMC"), a member of Sun Life Financial group of companies. SLAMC is a covered institution under republic Act No. 9160, as amended by R.A. 9194, otherwise known as the Anti-Money Laundering Act (AMLA) of 2001.

General Information

Complete Account Name: (include co-investor's name, if any/full company name for institutional investor)		Account Number
Name of Mutual Fund Representative:	Mutual Fund Representative's Code:	Branch:
Approximate Net Worth <input type="checkbox"/> Under P1 Million <input type="checkbox"/> P 1 - 5 Million <input type="checkbox"/> P 6 - 10 Million <input type="checkbox"/> over 10 Million		
Most Important Investment Goal <input type="checkbox"/> Retirement <input type="checkbox"/> Education <input type="checkbox"/> Buying a home <input type="checkbox"/> Others, please specify		
Investment knowledge <input type="checkbox"/> None <input type="checkbox"/> Little <input type="checkbox"/> Average <input type="checkbox"/> High		
Please check all that apply.		
	I currently have	I used to have
Life insurance	<input type="checkbox"/>	<input type="checkbox"/>
Pre-need plan	<input type="checkbox"/>	<input type="checkbox"/>
Government securities	<input type="checkbox"/>	<input type="checkbox"/>
Time Deposit	<input type="checkbox"/>	<input type="checkbox"/>
Foreign Currency Bank Deposit	<input type="checkbox"/>	<input type="checkbox"/>
Common or Private Trust Funds	<input type="checkbox"/>	<input type="checkbox"/>
Mutual Funds	<input type="checkbox"/>	<input type="checkbox"/>
Corporate Bonds	<input type="checkbox"/>	<input type="checkbox"/>
Stocks	<input type="checkbox"/>	<input type="checkbox"/>
Your own business	<input type="checkbox"/>	<input type="checkbox"/>
Real estate	<input type="checkbox"/>	<input type="checkbox"/>
Commodities/ Futures/ Options	<input type="checkbox"/>	<input type="checkbox"/>

Your Profile

To complete this questionnaire, please choose the statement that most closely defines your needs or best describes your situation. Check the circle in the left-hand margin that corresponds to your choice.

1 - Based on your stated requirements, how long do you envisage before requiring access to your funds?

- [1] 1-2 years
- [2] 3-5 years
- [3] 6-10 years
- [4] 11-15 years
- [5] more than 15 years

2 - How would you best describe your attitude towards investing?

- [1] Preserve my capital. I would rather have a low rate of return and know my initial capital is secure.
- [2] I want my investment to produce income I need. My capital should remain relatively stable.
- [3] I understand that the value of my investment(s) may fluctuate. I am willing to accept some fluctuations in my capital for the potential of achieving higher returns over the longer term.
- [4] I am willing to accept a high degree of risk for the potential of achieving a higher rate of return over the longer term.



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3 - In meeting your long-term objectives, how would you feel if there was a decline in the value of your capital in the first couple of years of your investments?

- [1] I can't accept any declines in the value of my investments.*
- [2] If the income I received didn't change, I would not be too concerned about my capital declining in the short term.
- [3] I generally invest for the long term, but would rather be moderately concerned with this decline.
- [4] I invest for the long term and would accept these fluctuations as part of my desire for higher returns associated with higher risks.

****If the answer to the question above is '1', you should be investing in the most conservative fund option available. We therefore advise you not to fill in the rest of the questionnaire.***

4 - What annual rate of return do you expect from your investment(s) to meet your stated objectives?

- [1] Less than 5%
- [2] 5% - 10%
- [3] 11% - 15%
- [4] Above 15%

5 - How concerned are you that the earning on your savings and investments should exceed the rate of inflation?

- [1] Not concerned
- [2] Slightly concerned
- [3] Moderately concerned
- [4] Highly concerned
- [5] Extremely concerned

6 - How familiar are you with investment markets?

- [1] No experience at all
- [2] Not very familiar, mainly invested in bank deposits and MRF
- [3] Some experience, with experience investing in unit trusts, shares, REITs, etc.
- [4] Wide experience with a range of investments in shares, bonds, Initial Public Offerings (IPO's), options, etc.

7 - Which statement best describes your attitude towards investing?

- [2] I want investments that have a low degree of risk.
- [4] I want a diversified mix of low risk investments, but I am happy to have small portion of my portfolio in investments with a higher degree of risk to achieve a slightly higher return. I am prepared to accept a negative investment return once every 7 to 9 years.
- [6] I want a spread of investments in a balanced portfolio. I am prepared to accept a negative return once every 5 to 7 years.
- [8] I want a diversified investment portfolio with an emphasis on higher returns, but still maintain a small number of low risk investments. I am prepared to accept a negative return once every 3 to 5 years.
- [10] I would only select investments that have a higher degree of risk with the potential to earn a higher rate of return. I am prepared to accept a negative return once every 1 to 3 years to achieve this goal.

<---- TOTAL SCORE

Scores	Investor Profile	FUND
Score 3 to 17	Conservative/Stable	GS/Bond/Dollar Abundance
Score 18 to 27	Balanced/Growth	Balanced/Dynamic/Dollar Advantage
Score 28 to 36	Active/Aggressive	Equity

Note:

We strongly recommend you review your viewpoint about investment risk at least once a year or when major change occurs in your personal situation to make sure your investment decisions continue to match your attitude to investment risk profile.

Declaration

I understand that this questionnaire will help me assess my risk appetite and investment experience based on the information provided by me. I am aware that my financial needs may change over time depending on my personal situation and objectives. Therefore, I understand that this questionnaire is intended for reference only and does not constitute, in any manner, advice given by the company. No guarantee is given as to the accuracy or completeness of the suggestions and recommendations provided in this questionnaire.

Printed Name of Principal Investor/Authorized Signatory	Signature X	Date of Signing (mm/dd/yy)
Printed Name of Co-investor	Signature X	Date of Signing (mm/dd/yy)
Printed Name of the Mutual Fund Representative	Signature X	Date of Signing (mm/dd/yy)