

Account Opening (Institutions)

The Sun Life Prosperity Funds, referred to as "Funds," are all managed and distributed by Sun Life Asset Management Company, Inc. (SLAMCI), a member of the Sun Life Financial group of companies.

In this form, *you* and *your* refer to the investor/institution's Authorized Representative(s), while *we*, *us*, *our*, and the *Company* refer to SLAMCI.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT:

Any information provided in this form and in the course of opening a mutual fund account will be used to allow the Company to identify you and/or verify your information. This is to ensure that we protect you, your application, and your transaction with the Company from being used for money laundering and terrorist financing activities.

IMPORTANT NOTES:

You must accomplish and submit the completed form and requirements to any of our Relationship Managers (RM), Mutual Fund (MF) Representatives, Client Service Centers, or through an e-mail to RequestSLAMCI@sunlife.com. For inquiries, please send an e-mail to sunlink@sunlife.com.

Please write legibly using **CAPITAL LETTERS**. Write **N/A** if the question is not applicable. Mark the box(es) with a "✓" to indicate your choice(s), then sign the form only when completely filled out.

A Investor Information				
1. Type of Institution				
Sole Proprietorship		Partnership		Corporation
Religious		Cooperative		Others
2. Name of Institution				
3. Current Office Address [No., Street, Village/Subdivision, Barangay, City/Municipality, Province/State, Country (P.O. Box is not acceptable)]				4. Zip Code
5. Registration Certificate No.	6. Registration Date			7. Philippine Tax Identification No. (TIN)
	Month	Day	Year	
		-	-	-
8. Nature of Business			9. Business Phone (Country Code, Area Code, & Tel. No., e.g. +63285558888)	
			+	
10. Estimated Capitalization in PHP*			11. Country of Incorporation	
<10M 10M - 50M 51M - 100M >100M				

*Not mandatory for Sun RISE clients

B Contact Person Information	
Contact Person is the individual authorized by the institution to access or verify information. All transactions should be initiated and approved by the Authorized Representative(s).	
12. Name of Contact Person (Last Name, First Name, M.I.)	13. Designation
14. E-mail Address	15. Business Phone (Country Code, Area Code, & Tel. No., e.g. +63285558888)
	+

C Initial Investment Details				
Note: * Front-end load are charges to be paid by the investor at the time of investment. ** Back-end load are charges to be paid by the investor at the time of redemption, based on the number of years invested.				
16. Fund Name (1)	16a. Sales Load	Front-End Load*	Back-End Load**	Others
	16b. Amount Paid	PHP	USD	
	16c. Amount in Words			
16d. Payment Details				
Cash		Direct Deposit to SLAMCI Bank Account		
Check (To be processed only upon receipt of cleared funds)		Name of the Bank:		
Check No.:		Telegraphic Transfer		
Drawee Bank:		Others		

C Initial Investment Details (Continuation)

17. Fund Name (2)	17a. Sales Load	Front-End Load*	Back-End Load**	Others
	17b. Amount Paid	PHP	USD	
	17c. Amount in Words			
17d. Payment Details				
Cash		Direct Deposit to SLAMCI Bank Account		
Check (To be processed only upon receipt of cleared funds)		Name of the Bank:		
Check No.:		Telegraphic Transfer		
Drawee Bank:		Others		
18. Fund Name (3)	18a. Sales Load	Front-End Load*	Back-End Load**	Others
	18b. Amount Paid	PHP	USD	
	18c. Amount in Words			
18d. Payment Details				
Cash		Direct Deposit to SLAMCI Bank Account		
Check (To be processed only upon receipt of cleared funds)		Name of the Bank:		
Check No.:		Telegraphic Transfer		
Drawee Bank:		Others		
19. Source of Funds				
Existing investments in other instruments (time deposits, etc.)		Proceeds from sale of assets (real estate, etc.)		
Income from operations/donations/contributions		Other sources, please specify:		

D Terms and Conditions

Purchase Price

The principal amount of investment shall purchase the corresponding number of Fund shares/units at the applicable Net Asset Value Per Share (NAVPS)/Net Asset Value Per Unit (NAVPU), less any sales load and taxes. The applicable NAVPS/NAVPU will be used for purchases made within the daily cut-off time.

Redemption and Fund Switch

SLAMCI shall honor valid redemption and fund switch requests any day it is open for business. A duly-endorsed Stock Certificate/Certificate of Participation, if issued, shall serve as proof of redemption. Shares/Units of the Fund will be redeemed using the applicable NAVPS/NAVPU at the close of business on the same day, less any applicable charges and taxes, if SLAMCI receives the redemption and fund switch request by 12:00 noon. If the request is received after 12:00 noon, the price will be the applicable NAVPS/NAVPU of the next business day. Applicable NAVPS/NAVPU to be used for switch-in will be based on the applicable settlement date of each Fund. Proceeds from the request, less fees and taxes (if any), shall be available within seven (7) banking days from the receipt of the request. SLAMCI reserves the right to change the daily cut-off time as may be allowed by law.

Right to Accept or Reject Subscriptions; Adjustment of Account

SLAMCI reserves the right to accept or reject any application at its sole discretion, as determined by the law or its policies. If this application is not accepted in whole or in part, SLAMCI will refund the corresponding investment to the investor without interest, within ten (10) business days from the date of submission of this application. SLAMCI is authorized to close your account(s) or effect any adjustments on any of your account(s), to correct any error or mistake committed, without need for any further instrument or consent.

Delivery of Stock Certificate/Certificate of Participation

A Stock Certificate/Certificate of Participation shall be issued upon a written request from the investor. Shareholders/Unitholders who do not elect to receive Stock Certificates/Certificates of Participation have the same rights of ownership as if certificates had been issued to them.

Applicable Laws

This application and related documents shall be governed by and interpreted in accordance with local or foreign laws that apply to SLAMCI. Any legal action arising thereupon shall be filed exclusively before the courts of Makati City, Philippines.

This application is governed by the terms and conditions of the Prospectus of the Sun Life Prosperity Funds.

Compliance with Data Privacy Act of 2012 (Republic Act No. 10173)

You acknowledge that SLAMCI, its employees, duly authorized representatives, related companies, third-party service providers, and vendors shall process and share your institution's authorized representative's information, including sensitive personal information, with any person or organization to (i) service this account, (ii) process transactions and enforce contract, (iii) conduct a personal investigation and/or verification on your company and your authorized representatives and any records or data you have provided with third parties, including government agencies, and (iv) pursue its legitimate and lawful rights and interests and other purposes allowed under laws and regulations, including, but not limited to, those relating to data privacy and anti-money laundering.

Your authorized representative's personal data shall be retained throughout the existence of your account(s) and/or until expiration of the retention limit set by laws and regulations from account closure and the period set for destruction or disposal of records. You certify that you have read, understood, and agreed with the declarations and authorizations above, including Sun Life's privacy policies found in <https://apps.sunlife.com.ph/privacy>.

You agree to indemnify and hold free and harmless SLAMCI, its affiliates, directors, legal representatives, and assignees against loss and damage from any claims and/or actions made by any third person, including the parties to the trust agreement or their representatives, in relation to the processing of information or transactions pursuant to this confirmation.

D Terms and Conditions (Continuation)

Rights of Unitholders

Unless otherwise modified by the Fund(s), unitholders have the following rights:

- 1. Notification Rights** - Unitholders are entitled to be notified of any material change to the Registration Statement and the Subscription Agreement. Unitholders shall be notified of any material change(s) to the Registration Statement and/or the Subscription Agreement at least thirty (30) days prior to its effective date.
- 2. Right of Redemption** - A unitholder is entitled to receive by way of redemption approximately his proportionate share of the Fund's current net assets or the cash equivalent thereof.
- 3. Dissolution Rights** - Upon dissolution of the Fund, the Fund shall payout the applicable redemption price to its unitholders under a distribution process to be determined by the Board of Directors of the Fund. The Fund shall submit a Redemption Plan to the Securities and Exchange Commission (SEC) prior to its dissolution.
- 4. Dividends** - **The unitholders are not entitled to any dividends.** The Fund may allow non-guaranteed unit-paying and/or income-paying feature(s), if so declared and approved by the Board of Directors of the Fund, and accordingly permitted by the SEC.
- 5. Modification of Rights of Unitholders** - The rights of unitholders shall not be modified except by amendment of the Fund's Registration Statement or Subscription/Redemption Agreement.

Rights of Shareholders

Unless otherwise modified by the Fund(s), shareholders have the following rights:

- 1. Voting Rights** - Each holder of a share in the Fund is entitled to one vote, in person or by proxy, for each share held by such shareholder. Each share of stock of the Fund is a voting stock with voting rights equal to every other outstanding share of stock of the same Fund.
- 2. Right of Redemption** - A shareholder is entitled to receive by way of redemption approximately his proportionate share of the Fund's current net assets or the cash equivalent thereof.
- 3. Dissolution Rights** - Upon dissolution of the Fund, the Fund shall payout the applicable redemption price to its shareholders under a distribution process to be determined by the Board of Directors of the Fund. The Fund shall submit a Redemption Plan to the SEC prior to its dissolution.
- 4. Dividends** - Each shareholder has a right to any dividends declared by the Fund, if so declared and approved by its Board of Directors and accordingly permitted by the SEC.
- 5. Pre-emptive Rights** - No shareholder shall, because of his ownership of stock, have pre-emptive or other right to purchase, subscribe for, or take any part of any other securities convertible into or carrying options or warrants to purchase stock of the Fund.
- 6. Modification of Rights of Shareholders** - The rights of shareholders shall not be modified except by amendment of the Fund's Articles of Incorporation and/or By-Laws.

E Compliance with Foreign Account Tax Compliance Act (FATCA)

The Foreign Account Tax Compliance Act (FATCA) requires us to identify, collect, and report tax-related information of our clients. To allow SLAMCI to comply with these obligations, please submit a duly completed IRS Form depending on your circumstance(s):

US Status or Indicia	Required Document
US Entity	IRS Form W-9
Not a US Entity	IRS Form W-8 BEN-E

You also agree to inform us within thirty (30) calendar days of any change in your circumstances, which makes any information on a document inaccurate, and provide a new or updated document. You also agree that when we are required by law, regulation, or any authority, we shall provide all information about your local and/or foreign tax status or account(s) and disclose these to authorities or its representatives that are involved in processing, collecting, transferring, or disclosing such. In case a separate waiver is required to provide the required information to competent authorities, you will provide a waiver in an acceptable format.

For the latest version of the above forms, please refer to the IRS website (<https://www.irs.gov/forms-instructions>).

F Investor's Acknowledgment and Agreement

You confirm that:

- All information provided in this form are true, correct, and complete. All agreements made with SLAMCI are set out in this form. Please inform us immediately if any of the information provided has changed.
- You have secured, and will maintain, all applicable government and regulatory licenses. You have never undergone the process of dissolution.
- The funds where the investments are sourced from were not generated from, or in any way related to, any of the unlawful activities listed in the Anti-Money Laundering Act (AMLA) and the Terrorism Financing Prevention and Suppression Act (TFPSA).
- You consent to be bound by the obligations set out in the AMLA, TFPSA, and relevant United Nations Security Council Resolutions (UNSCR) relating to the prevention and suppression of terrorism, terrorist financing, and financing of proliferation of weapons of mass destruction, including the freezing and unfreezing actions as well as prohibitions from conducting transactions with designated persons and entities. In this regard, you authorize the Company to freeze and unfreeze your account pursuant to UNSCR and issuances of the Anti-Money Laundering Commission (AMLC), regardless of the filing of civil forfeiture proceedings.
- You agree to immediately send the required/updated information to SLAMCI if any of the information has changed, every three (3) years after account opening or anytime upon SLAMCI's request. Otherwise, the Company may refuse to accept additional subscriptions or terminate your account.
- You hold SLAMCI free and harmless from any liability that may arise from any transfer, disclosure, processing, collection, use, storage, or destruction of your information.
- If you transact with the Company by electronic means, you assume full responsibility for all transactions that use your electronic identification.
- You have received, read, and understood the Funds' prospectuses, financial statements, policies, and these terms and conditions.
- We can make adjustments to your account(s) to correct any error and modify or terminate any investor privileges. If we find out that you did not comply with laws and our policies, we may close your account and charge a fee.

<p>20. Printed Name, Designation, and Signature of the Institution's Authorized Representative (1)</p> <div style="border: 1px solid black; width: 100%; height: 40px; margin-top: 10px; text-align: center; font-size: small;">Please sign here</div>	<p>21. Date Signed (e.g. 12-31-2019)</p> <p style="text-align: right;">Month Day Year</p> <p style="text-align: right;">— — —</p>
<p>22. Printed Name, Designation, and Signature of the Institution's Authorized Representative (2)</p> <div style="border: 1px solid black; width: 100%; height: 40px; margin-top: 10px; text-align: center; font-size: small;">Please sign here</div>	<p>23. Date Signed (e.g. 12-31-2019)</p> <p style="text-align: right;">Month Day Year</p> <p style="text-align: right;">— — —</p>

24. Would you like to receive personalized communication and product offers from Sun Life Asset Management Company, Inc. (SLAMCI); Sun Life of Canada (Philippines), Inc. (SLOCPI); Sun Life Financial Plans, Inc. (SLFPI); and other members of the Sun Life Financial group that may help you with your financial needs? Yes No

G Declaration of Relationship Manager (RM)/Mutual Fund (MF) Representative

You confirm that:

- a. You obtained satisfactory evidence to establish the accuracy of the information in this form.
- b. You performed the appropriate Know-Your-Client (KYC) process based on the AMLA and Company policies, and you shall inform us immediately of your opinion about the investor's/institution's integrity or reputation.
- c. You advised the investor/institution that the transactions will only be processed upon submission of complete information, documentary requirements, and cleared funds.

25. Printed Name and Signature of RM/MF Representative <div style="text-align: right; margin-right: 50px;"><small>Please sign here</small></div> <div style="border: 1px solid black; width: 100px; height: 20px; margin-left: auto; margin-right: 0;"></div>	26. A.R. No. Issued	27. Date Signed (e.g. 12-31-2019) Month Day Year - -
28. MF Representative's Code	29. Branch	

For Office Use Only

30. Date Received <div style="background-color: #f9e79f; height: 20px; width: 100%;"></div>	31. Time Received <div style="background-color: #f9e79f; height: 20px; width: 100%;"></div>	32. Receiving Department/Office <div style="background-color: #f9e79f; height: 20px; width: 100%;"></div>
33. Remarks <div style="background-color: #f9e79f; height: 20px; width: 100%;"></div> <div style="background-color: #f9e79f; height: 20px; width: 100%;"></div>		