#### No results for the selected criteria.

#### Parameters Used:

Reporting Period: 07/01/2018 to 07/31/2018

Location(s): All Locations

Account Group(s): All Account Groups Institution Account(s): 501186

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets

Meeting ID's: All Meeting ID's Meeting Types: All Meeting Types

PoA Markets: All Markets

Proposal Proponents: All Proponents

Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets Shareblocking Markets: All Markets Sort Order: Meeting Date, Company Name Vote Instructions: All Instructions

Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only

# **Naspers Ltd.**

Meeting Date: 08/24/2018

Country: South Africa Meeting Type: Annual

Primary ISIN: ZAE000015889

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Ordinary Resolutions	Mgmt	No		
1	Accept Financial Statements and Statutory Reports for the Year Ended 31 March 2018	Mgmt	Yes	For	For
2	Approve Dividends for N Ordinary and A Ordinary Shares	Mgmt	Yes	For	For
3	Reappoint PricewaterhouseCoopers Inc as Auditors of the Company with Brendan Deegan as the Individual Registered Auditor	Mgmt	Yes	For	For
4	Re-elect Mark Sorour as Director	Mgmt	Yes	For	For
5.1	Re-elect Craig Enenstein as Director	Mgmt	Yes	For	For
5.2	Re-elect Don Eriksson as Director	Mgmt	Yes	For	For
5.3	Re-elect Hendrik du Toit as Director	Mgmt	Yes	For	For
5.4	Re-elect Guijin Liu as Director	Mgmt	Yes	For	For
5.5	Re-elect Roberto Oliveira de Lima as Director	Mgmt	Yes	For	For
6.1	Re-elect Don Eriksson as Member of the Audit Committee	Mgmt	Yes	For	For
6.2	Re-elect Ben van der Ross as Member of the Audit Committee	Mgmt	Yes	For	For
6.3	Re-elect Rachel Jafta as Member of the Audit Committee	Mgmt	Yes	For	For
7	Approve Remuneration Policy	Mgmt	Yes	For	Against
8	Approve Implementation of the Remuneration Policy	Mgmt	Yes	For	Against
9	Place Authorised but Unissued Shares under Control of Directors	Mgmt	Yes	For	Against
10	Authorise Board to Issue Shares for Cash	Mgmt	Yes	For	Against
11	Authorise Ratification of Approved Resolutions	Mgmt	Yes	For	For
	Special Resolutions	Mgmt	No		
1.1	Approve Fees of the Board Chairman	Mgmt	Yes	For	For
1.2	Approve Fees of the Board Member	Mgmt	Yes	For	For
1.3	Approve Fees of the Audit Committee Chairman	Mgmt	Yes	For	For

# **Naspers Ltd.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1.4	Approve Fees of the Audit Committee Member	Mgmt	Yes	For	For
1.5	Approve Fees of the Risk Committee Chairman	Mgmt	Yes	For	For
1.6	Approve Fees of the Risk Committee Member	Mgmt	Yes	For	For
1.7	Approve Fees of the Human Resources and Remuneration Committee Chairman	Mgmt	Yes	For	For
1.8	Approve Fees of the Human Resources and Remuneration Committee Member	Mgmt	Yes	For	For
1.9	Approve Fees of the Nomination Committee Chairman	Mgmt	Yes	For	For
1.10	Approve Fees of the Nomination Committee Member	Mgmt	Yes	For	For
1.11	Approve Fees of the Social and Ethics Committee Chairman	Mgmt	Yes	For	For
1.12	Approve Fees of the Social and Ethics Committee Member	Mgmt	Yes	For	For
1.13	Approve Fees of the Trustees of Group Share Schemes/Other Personnel Funds	Mgmt	Yes	For	For
2	Approve Financial Assistance in Terms of Section 44 of the Companies Act	Mgmt	Yes	For	For
3	Approve Financial Assistance in Terms of Section 45 of the Companies Act	Mgmt	Yes	For	For
4	Authorise Repurchase of N Ordinary Shares	Mgmt	Yes	For	For
5	Authorise Repurchase of A Ordinary Shares	Mgmt	Yes	For	Against

### **Compagnie Financiere Richemont SA**

Meeting Date: 09/10/2018

Country: Switzerland Meeting Type: Annual

**Primary ISIN:** CH0210483332

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Allocation of Income and Dividends of CHF 1.90 per Registered A Share and CHF 0.19 per Registered B Share	Mgmt	Yes	For	For
3	Approve Discharge of Board of Directors	Mgmt	Yes	For	For
4.1	Reelect Johann Rupert as Director and Board Chairman	Mgmt	Yes	For	For
4.2	Reelect Josua Malherbe as Director	Mgmt	Yes	For	Against
4.3	Reelect Nikesh Arora as Director	Mgmt	Yes	For	Against
4.4	Reelect Nicolas Bos as Director	Mgmt	Yes	For	For
4.5	Reelect Clay Brendish as Director	Mgmt	Yes	For	For
4.6	Reelect Jean-Blaise Eckert as Director	Mgmt	Yes	For	Against
4.7	Reelect Burkhart Grund as Director	Mgmt	Yes	For	For
4.8	Reelect Keyu Jin as Director	Mgmt	Yes	For	For
4.9	Reelect Jerome Lambert as Director	Mgmt	Yes	For	For
4.10	Reelect Ruggero Magnoni as Director	Mgmt	Yes	For	Against
4.11	Reelect Jeff Moss as Director	Mgmt	Yes	For	For
4.12	Reelect Vesna Nevistic as Director	Mgmt	Yes	For	Against
4.13	Reelect Guillaume Pictet as Director	Mgmt	Yes	For	For
4.14	Reelect Alan Quasha as Director	Mgmt	Yes	For	Against
4.15	Reelect Maria Ramos as Director	Mgmt	Yes	For	For
4.16	Reelect Anton Rupert as Director	Mgmt	Yes	For	Against
4.17	Reelect Jan Rupert as Director	Mgmt	Yes	For	Against
4.18	Reelect Gary Saage as Director	Mgmt	Yes	For	Against
4.19	Reelect Cyrille Vigneron as Director	Mgmt	Yes	For	For
4.20	Elect Sophie Guieysse as Director	Mgmt	Yes	For	For
5.1	Appoint Clay Brendish as Member of the Compensation Committee	Mgmt	Yes	For	For

Date range covered: 09/01/2018 to 09/30/2018

### **Compagnie Financiere Richemont SA**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
5.2	Appoint Guillaume Pictet as Member of the Compensation Committee	Mgmt	Yes	For	For
5.3	Appoint Maria Ramos as Member of the Compensation Committee	Mgmt	Yes	For	For
5.4	Appoint Keyu Jin as Member of the Compensation Committee	Mgmt	Yes	For	For
6	Ratify PricewaterhouseCoopers as Auditors	Mgmt	Yes	For	For
7	Designate Etude Gampert & Demierre as Independent Proxy	Mgmt	Yes	For	For
8.1	Approve Maximum Remuneration of Directors in the Amount of CHF 8.9 Million	Mgmt	Yes	For	For
8.2	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 15.8 Million	Mgmt	Yes	For	For
8.3	Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 15.8 Million	Mgmt	Yes	For	For
9	Transact Other Business (Voting)	Mgmt	Yes	For	Against

# **Alimentation Couche-Tard, Inc.**

**Meeting Date:** 09/20/2018

**Country:** Canada **Meeting Type:** Annual

Primary ISIN: CA01626P4033

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Meeting for Class A Multiple Voting and Class B Subordinate Voting Shareholders	Mgmt	No		
1	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For
2.1	Elect Director Alain Bouchard	Mgmt	Yes	For	For
2.2	Elect Director Melanie Kau	Mgmt	Yes	For	For
2.3	Elect Director Nathalie Bourque	Mgmt	Yes	For	For
2.4	Elect Director Eric Boyko	Mgmt	Yes	For	For
2.5	Elect Director Jacques D'Amours	Mgmt	Yes	For	For
2.6	Elect Director Jean Elie	Mgmt	Yes	For	For
2.7	Elect Director Richard Fortin	Mgmt	Yes	For	For

# **Alimentation Couche-Tard, Inc.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
2.8	Elect Director Brian Hannasch	Mgmt	Yes	For	For
2.9	Elect Director Monique F. Leroux	Mgmt	Yes	For	For
2.10	Elect Director Real Plourde	Mgmt	Yes	For	For
2.11	Elect Director Daniel Rabinowicz	Mgmt	Yes	For	For
	Shareholder Proposals	Mgmt	No		
3	SP 1: Advisory Vote to Ratify The Five Highest Paid Executive Officers' Compensation	SH	Yes	Against	For
4	SP 2: Separate Disclosure of Voting Results by Class of Shares	SH	Yes	Against	For
5	SP 3: Conduct an Accountability Exercise on Environmental and Social Issues	SH	Yes	Against	For

# **Diageo plc**

Meeting Date: 09/20/2018

Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB0002374006

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Remuneration Report	Mgmt	Yes	For	For
3	Approve Final Dividend	Mgmt	Yes	For	For
4	Elect Susan Kilsby as Director	Mgmt	Yes	For	For
5	Re-elect Lord Davies of Abersoch as Director	Mgmt	Yes	For	For
6	Re-elect Javier Ferran as Director	Mgmt	Yes	For	For
7	Re-elect Ho KwonPing as Director	Mgmt	Yes	For	For
8	Re-elect Nicola Mendelsohn as Director	Mgmt	Yes	For	For
9	Re-elect Ivan Menezes as Director	Mgmt	Yes	For	For
10	Re-elect Kathryn Mikells as Director	Mgmt	Yes	For	For
11	Re-elect Alan Stewart as Director	Mgmt	Yes	For	For
12	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For
13	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For

# **Diageo plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
14	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
15	Authorise Issue of Equity with Pre-emptive Rights	Mgmt	Yes	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
17	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
18	Adopt New Articles of Association	Mgmt	Yes	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For

### **BHP Billiton Plc**

Meeting Date: 10/17/2018

Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB0000566504

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For
3	Authorise the Risk and Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For
4	Authorise Issue of Equity with Pre-emptive Rights	Mgmt	Yes	For	For
5	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
6	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
7	Approve Remuneration Report for UK Law Purposes	Mgmt	Yes	For	For
8	Approve Remuneration Report for Australian Law Purposes	Mgmt	Yes	For	For
9	Approve Grant of Awards under the Group's Incentive Plans to Andrew Mackenzie	Mgmt	Yes	For	For
10	Approve Change of Company Name to BHP Group plc	Mgmt	Yes	For	For
11	Re-elect Terry Bowen as Director	Mgmt	Yes	For	For
12	Re-elect Malcolm Broomhead as Director	Mgmt	Yes	For	For
13	Re-elect Anita Frew as Director	Mgmt	Yes	For	For
14	Re-elect Carolyn Hewson as Director	Mgmt	Yes	For	For
15	Re-elect Andrew Mackenzie as Director	Mgmt	Yes	For	For
16	Re-elect Lindsay Maxsted as Director	Mgmt	Yes	For	For
17	Re-elect John Mogford as Director	Mgmt	Yes	For	For
18	Re-elect Shriti Vadera as Director	Mgmt	Yes	For	For
19	Re-elect Ken MacKenzie as Director	Mgmt	Yes	For	For

# **CK Asset Holdings Ltd.**

Meeting Date: 10/30/2018 Country: Cayman Islands

Meeting Type: Special

Primary ISIN: KYG2177B1014

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Acquisition by CKM Australia Bidco Pty Ltd Pursuant to the Implementation Agreement	Mgmt	Yes	For	For
2	Approve Consortium Formation Agreement and the Transaction Proceeding with the Joint Venture Transaction Pursuant to the Implementation Agreement	Mgmt	Yes	For	For

#### Safran SA

Meeting Date: 11/27/2018

Country: France Meeting Type: Special

**Primary ISIN:** FR0000073272

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt	No		
1	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
	Extraordinary Business	Mgmt	No		
2	Approve Merger by Absorption of Zodiac Aerospace by Safran	Mgmt	Yes	For	For
3	Amend Article 10 of Bylaws Re: Voting Rights	Mgmt	Yes	For	For
4	Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For

### **Ferguson Plc**

Meeting Date: 11/29/2018

Country: Jersey

Meeting Type: Annual

Primary ISIN: JE00BFYFZP55

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Remuneration Report	Mgmt	Yes	For	For
3	Approve Remuneration Policy	Mgmt	Yes	For	For
4	Approve Final Dividend	Mgmt	Yes	For	For
5	Re-elect Tessa Bamford as Director	Mgmt	Yes	For	For
6	Re-elect Gareth Davis as Director	Mgmt	Yes	For	For
7	Re-elect John Martin as Director	Mgmt	Yes	For	For
8	Re-elect Kevin Murphy as Director	Mgmt	Yes	For	For
9	Re-elect Alan Murray as Director	Mgmt	Yes	For	For
10	Re-elect Michael Powell as Director	Mgmt	Yes	For	For
11	Re-elect Darren Shapland as Director	Mgmt	Yes	For	For

# **Ferguson Plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
12	Re-elect Dr Nadia Shouraboura as Director	Mgmt	Yes	For	For
13	Re-elect Jacqueline Simmonds as Director	Mgmt	Yes	For	For
14	Approve Increase in the Maximum Aggregate Remuneration Payable to Non-executive Directors	Mgmt	Yes	For	For
15	Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For
17	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
18	Authorise Issue of Equity with Pre-emptive Rights	Mgmt	Yes	For	For
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
21	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For

Date range covered: 12/01/2018 to 12/31/2018

# Ping An Insurance (Group) Co. of China Ltd.

Meeting Date: 12/14/2018

Country: China

Meeting Type: Special

Primary ISIN: CNE1000003X6

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt	No		
	APPROVE THE ELECTION OF DIRECTORS OF THE COMPANY	Mgmt	No		
1.01	Elect Ng Sing Yip as Director	Mgmt	Yes	For	For
1.02	Elect Chu Yiyun as Director	Mgmt	Yes	For	For
1.03	Elect Liu Hong as Director	Mgmt	Yes	For	For
2	Approve the Implementation of the Long-term Service Plan	Mgmt	Yes	For	For
3	Approve the Issuing of Debt Financing Instruments	Mgmt	Yes	For	For
4	Amend Articles of Association	Mgmt	Yes	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	Yes	For	For

#### No results for the selected criteria.

#### Parameters Used:

Reporting Period: 01/01/2019 to 01/31/2019

Location(s): All Locations

Account Group(s): All Account Groups Institution Account(s): 501186

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets

Meeting ID's: All Meeting ID's Meeting Types: All Meeting Types

PoA Markets: All Markets

Proposal Proponents: All Proponents

Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets Shareblocking Markets: All Markets Sort Order: Meeting Date, Company Name Vote Instructions: All Instructions

Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only

### **Novartis AG**

Meeting Date: 02/28/2019

Country: Switzerland Meeting Type: Annual

Primary ISIN: CH0012005267

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For
3	Approve Allocation of Income and Dividends of CHF 2.85 per Share	Mgmt	Yes	For	For
4	Approve CHF 11.6 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For
5	Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For
6	Approve Special Distribution by Way of a Dividend in Kind to Effect the Spin-Off of Alcon Inc.	Mgmt	Yes	For	For
7.1	Approve Maximum Remuneration of Directors in the Amount of CHF 8.2 Million	Mgmt	Yes	For	For
7.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 92 Million	Mgmt	Yes	For	For
7.3	Approve Remuneration Report	Mgmt	Yes	For	For
8.1	Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For
8.2	Reelect Nancy Andrews as Director	Mgmt	Yes	For	For
8.3	Reelect Ton Buechner as Director	Mgmt	Yes	For	For
8.4	Reelect Srikant Datar as Director	Mgmt	Yes	For	For
8.5	Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For
8.6	Reelect Ann Fudge as Director	Mgmt	Yes	For	For
8.7	Reelect Frans van Houten as Director	Mgmt	Yes	For	For
8.8	Reelect Andreas von Planta as Director	Mgmt	Yes	For	For
8.9	Reelect Charles Sawyers as Director	Mgmt	Yes	For	For
8.10	Reelect Enrico Vanni as Director	Mgmt	Yes	For	For
8.11	Reelect William Winters as Director	Mgmt	Yes	For	For
8.12	Elect Patrice Bula as Director	Mgmt	Yes	For	For
9.1	Reappoint Srikant Datar as Member of the Compensation Committee	Mgmt	Yes	For	For

### **Novartis AG**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
9.2	Reappoint Ann Fudge as Member of the Compensation Committee	Mgmt	Yes	For	For
9.3	Reappoint Enrico Vanni as Member of the Compensation Committee	Mgmt	Yes	For	For
9.4	Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For
9.5	Appoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For
10	Ratify PricewaterhouseCoopers AG as Auditors	Mgmt	Yes	For	For
11	Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For
12	Transact Other Business (Voting)	Mgmt	Yes	For	Against

### **Novo Nordisk A/S**

Meeting Date: 03/21/2019

Country: Denmark Meeting Type: Annual

**Primary ISIN:** DK0060534915

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Receive Report of Board	Mgmt	No		
2	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
3.1	Approve Remuneration of Directors for 2018	Mgmt	Yes	For	For
3.2	Approve Remuneration of Directors for 2019 in the Amount of DKK 2.1 Million for the Chairman, DKK 1.4 Million for the Vice Chairman, and DKK 700,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	For
4	Approve Allocation of Income and Dividends	Mgmt	Yes	For	For
5.1	Reelect Helge Lund as Director and Chairman	Mgmt	Yes	For	For
5.2	Reelect Jeppe Christiansen as Director and Deputy Chairman	Mgmt	Yes	For	For
5.3a	Reelect Brian Daniels as Director	Mgmt	Yes	For	For
5.3b	Elect Laurence Debroux as New Director	Mgmt	Yes	For	For
5.3c	Reelect Andreas Fibig as Director	Mgmt	Yes	For	For
5.3d	Reelect Sylvie Gregoire as Director	Mgmt	Yes	For	For
5.3e	Reelect Liz Hewitt as Director	Mgmt	Yes	For	For
5.3f	Reelect Kasim Kutay as Director	Mgmt	Yes	For	For
5.3g	Reelect Martin Mackay as Director	Mgmt	Yes	For	For
6	Ratify PricewaterhouseCoopers as Auditors	Mgmt	Yes	For	For
7.1	Approve DKK 10 Million Reduction in Share Capital via B Share Cancellation	Mgmt	Yes	For	For
7.2	Authorize Share Repurchase Program	Mgmt	Yes	For	For
7.3a	Approve Creation of Pool of Capital for the Benefit of Employees	Mgmt	Yes	For	For
7.3b	Approve Creation of Pool of Capital with Preemptive Rights	Mgmt	Yes	For	For
7.3c	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	Yes	For	For
7.4	Approve Guidelines for Incentive-Based Compensation for Executive Management and Board	Mgmt	Yes	For	For

### **Novo Nordisk A/S**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Shareholder Proposals Submitted by Frank Aaen	Mgmt	No		
8	Reduction of Price of Insulin and Other Products if Return on Equity Exceeds 7 Per Cent	SH	Yes	Against	Against
9	Other Business	Mgmt	No		

# **Asahi Group Holdings Ltd.**

Meeting Date: 03/26/2019

Country: Japan Meeting Type: Annual

Primary ISIN: JP3116000005

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	<u> </u>				
1	Approve Allocation of Income, with a Final Dividend of JPY 54	Mgmt	Yes	For	For
2	Amend Articles to Remove All Provisions on Advisory Positions	Mgmt	Yes	For	For
3.1	Elect Director Izumiya, Naoki	Mgmt	Yes	For	For
3.2	Elect Director Koji, Akiyoshi	Mgmt	Yes	For	For
3.3	Elect Director Katsuki, Atsushi	Mgmt	Yes	For	For
3.4	Elect Director Kosaka, Tatsuro	Mgmt	Yes	For	For
3.5	Elect Director Shingai, Yasushi	Mgmt	Yes	For	For
3.6	Elect Director Hemmi, Yutaka	Mgmt	Yes	For	For
3.7	Elect Director Taemin Park	Mgmt	Yes	For	For
3.8	Elect Director Tanimura, Keizo	Mgmt	Yes	For	For
3.9	Elect Director Christina L. Ahmadjian	Mgmt	Yes	For	For
4.1	Appoint Statutory Auditor Waseda, Yumiko	Mgmt	Yes	For	For
4.2	Appoint Statutory Auditor Okuda, Yoshihide	Mgmt	Yes	For	For
5	Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For
6	Approve Compensation Ceiling for Statutory Auditors	Mgmt	Yes	For	For
7	Approve Trust-Type Equity Compensation Plan	Mgmt	Yes	For	For
8	Appoint Shareholder Statutory Auditor Nominee Hashimoto, Kazuo	SH	Yes	Against	Against

Date range covered: 04/01/2019 to 04/30/2019

### **The Toronto-Dominion Bank**

Meeting Date: 04/04/2019

**Country:** Canada **Meeting Type:** Annual

Primary ISIN: CA8911605092

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1.1	Elect Director William E. Bennett	Mgmt	Yes	For	For
1.2	Elect Director Amy W. Brinkley	Mgmt	Yes	For	For
1.3	Elect Director Brian C. Ferguson	Mgmt	Yes	For	For
1.4	Elect Director Colleen A. Goggins	Mgmt	Yes	For	For
1.5	Elect Director Mary Jo Haddad	Mgmt	Yes	For	For
1.6	Elect Director Jean-Rene Halde	Mgmt	Yes	For	For
1.7	Elect Director David E. Kepler	Mgmt	Yes	For	For
1.8	Elect Director Brian M. Levitt	Mgmt	Yes	For	For
1.9	Elect Director Alan N. MacGibbon	Mgmt	Yes	For	For
1.10	Elect Director Karen E. Maidment	Mgmt	Yes	For	For
1.11	Elect Director Bharat B. Masrani	Mgmt	Yes	For	For
1.12	Elect Director Irene R. Miller	Mgmt	Yes	For	For
1.13	Elect Director Nadir H. Mohamed	Mgmt	Yes	For	For
1.14	Elect Director Claude Mongeau	Mgmt	Yes	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For
	Shareholder Proposals	Mgmt	No		
4	SP A: Request, Evaluate and Consider GHG Emissions, Stop Financing Existing Energy Projects That Emit or Enable Significant GHGs, Among Other Things	SH	Yes	Against	Against
5	SP B: Disclose Equity Ratio Used By the Compensation Committee In Determining Compensation	SH	Yes	Against	Against

#### **Airbus SE**

Meeting Date: 04/10/2019

**Country:** Netherlands **Meeting Type:** Annual

**Primary ISIN:** NL0000235190

### **Airbus SE**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt	No		
1	Open Meeting	Mgmt	No		
2.1	Discussion on Company's Corporate Governance Structure	Mgmt	No		
2.2	Receive Report on Business and Financial Statements	Mgmt	No		
2.3	Discuss Implementation of the Remuneration Policy	Mgmt	No		
2.4	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No		
3	Discussion of Agenda Items	Mgmt	No		
4.1	Adopt Financial Statements	Mgmt	Yes	For	For
4.2	Approve Allocation of Income and Dividends of EUR of 1.65 per Share	Mgmt	Yes	For	For
4.3	Approve Discharge of Non-Executive Members of the Board of Directors	Mgmt	Yes	For	For
4.4	Approve Discharge of Executive Members of the Board of Directors	Mgmt	Yes	For	For
4.5	Ratify Ernst & Young as Auditors	Mgmt	Yes	For	For
4.6	Amend Remuneration Policy	Mgmt	Yes	For	For
4.7	Elect Guillaume Faury as Executive Director	Mgmt	Yes	For	For
4.8	Reelect Catherine Guillouard as Non-Executive Director	Mgmt	Yes	For	For
4.9	Reelect Claudia Nemat as Non-Executive Director	Mgmt	Yes	For	For
4.10	Reelect Carlos Tavares as Non-Executive Director	Mgmt	Yes	For	For
4.11	Grant Board Authority to Issue Shares Up To 0.52 Percent of Issued Capital and Exclude Preemptive Rights Re: ESOP and LTIP Plans	Mgmt	Yes	For	For
4.12	Grant Board Authority to Issue Shares Up To 1.16 Percent of Issued Capital and Exclude Preemptive Rights Re: Company Funding	Mgmt	Yes	For	For
4.13	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
4.14	Approve Cancellation of Repurchased Shares	Mgmt	Yes	For	For
5	Close Meeting	Mgmt	No		

### **Nestle SA**

Meeting Date: 04/11/2019

Country: Switzerland Meeting Type: Annual

**Primary ISIN:** CH0038863350

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1.1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
1.2	Approve Remuneration Report	Mgmt	Yes	For	For
2	Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For
3	Approve Allocation of Income and Dividends of CHF 2.45 per Share	Mgmt	Yes	For	For
4.1a	Reelect Paul Bulcke as Director and Board Chairman	Mgmt	Yes	For	For
4.1b	Reelect Ulf Schneider as Director	Mgmt	Yes	For	For
4.1c	Reelect Henri de Castries as Director	Mgmt	Yes	For	For
4.1d	Reelect Beat Hess as Director	Mgmt	Yes	For	For
4.1e	Reelect Renato Fassbind as Director	Mgmt	Yes	For	For
4.1f	Reelect Ann Veneman as Director	Mgmt	Yes	For	For
4.1g	Reelect Eva Cheng as Director	Mgmt	Yes	For	For
4.1h	Reelect Patrick Aebischer as Director	Mgmt	Yes	For	For
4.1i	Reelect Ursula Burns as Director	Mgmt	Yes	For	For
4.1j	Reelect Kasper Rorsted as Director	Mgmt	Yes	For	For
4.1k	Reelect Pablo Isla as Director	Mgmt	Yes	For	For
4.11	Reelect Kimberly Ross as Director	Mgmt	Yes	For	For
4.2.1	Elect Dick Boer as Director	Mgmt	Yes	For	For
4.2.2	Elect Dinesh Paliwal as Director	Mgmt	Yes	For	For
4.3.1	Appoint Beat Hess as Member of the Compensation Committee	Mgmt	Yes	For	For
4.3.2	Appoint Patrick Aebischer as Member of the Compensation Committee	Mgmt	Yes	For	For
4.3.3	Appoint Ursula Burns as Member of the Compensation Committee	Mgmt	Yes	For	For
4.3.4	Appoint Pablo Isla as Member of the Compensation Committee	Mgmt	Yes	For	For
4.4	Ratify KPMG AG as Auditors	Mgmt	Yes	For	For

Date range covered: 04/01/2019 to 04/30/2019

#### **Nestle SA**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
4.5	Designate Hartmann Dreyer as Independent Proxy	Mgmt	Yes	For	For
5.1	Approve Remuneration of Directors in the Amount of CHF 10 Million	Mgmt	Yes	For	For
5.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 55 Million	Mgmt	Yes	For	For
6	Approve CHF 8.7 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For
7	Transact Other Business (Voting)	Mgmt	Yes	Against	Against

#### **PT Bank Central Asia Tbk**

**Meeting Date:** 04/11/2019

**Country:** Indonesia **Meeting Type:** Annual

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Primary ISIN: ID1000109507

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Financial Statements, Statutory Reports, and Discharge of Directors and Commissioners	Mgmt	Yes	For	For
2	Approve Allocation of Income and Dividends	Mgmt	Yes	For	For
3	Approve Changes in Board of Directors	Mgmt	Yes	For	For
4	Approve Remuneration and Tantiem of Directors and Commissioners	Mgmt	Yes	For	For
5	Approve Auditors	Mgmt	Yes	For	For
6	Approve Payment of Interim Dividend	Mgmt	Yes	For	For
7	Approve Updates in the Company's Recovery Plan	Mgmt	Yes	For	For
8	Accept Report on the Use of Proceeds	Mgmt	Yes	For	For

### **Smith & Nephew Plc**

Meeting Date: 04/11/2019

**Country:** United Kingdom **Meeting Type:** Annual

**Primary ISIN:** GB0009223206

Date range covered: 04/01/2019 to 04/30/2019

# **Smith & Nephew Plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Remuneration Report	Mgmt	Yes	For	For
3	Approve Final Dividend	Mgmt	Yes	For	For
4	Re-elect Graham Baker as Director	Mgmt	Yes	For	For
5	Re-elect Vinita Bali as Director	Mgmt	Yes	For	For
6	Re-elect Baroness Virginia Bottomley as Director	Mgmt	Yes	For	For
7	Re-elect Roland Diggelmann as Director	Mgmt	Yes	For	For
8	Re-elect Erik Engstrom as Director	Mgmt	Yes	For	For
9	Re-elect Robin Freestone as Director	Mgmt	Yes	For	For
10	Elect Namal Nawana as Director	Mgmt	Yes	For	For
11	Re-elect Marc Owen as Director	Mgmt	Yes	For	For
12	Re-elect Angie Risley as Director	Mgmt	Yes	For	For
13	Re-elect Roberto Quarta as Director	Mgmt	Yes	For	For
14	Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For
15	Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For
16	Authorise Issue of Equity	Mgmt	Yes	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For
20	Adopt New Articles of Association	Mgmt	Yes	For	For

# **LVMH Moet Hennessy Louis Vuitton SE**

Meeting Date: 04/18/2019 Country: France

Meeting Type: Annual/Special

Primary ISIN: FR0000121014

# **LVMH Moet Hennessy Louis Vuitton SE**

Proposal			Votable		Vote
Number	Proposal Text	Proponent	Proposal	Mgmt Rec	Instruction
	Ordinary Business	Mgmt	No		
1	Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For
3	Approve Allocation of Income and Dividends of EUR 6.00 per Share	Mgmt	Yes	For	For
4	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	Against
5	Ratify Appointment of Sophie Chassat as Director	Mgmt	Yes	For	For
6	Reelect Bernard Arnault as Director	Mgmt	Yes	For	Against
7	Reelect Sophie Chassat as Director	Mgmt	Yes	For	For
8	Reelect Clara Gaymard as Director	Mgmt	Yes	For	For
9	Reelect Hubert Vedrine as Director	Mgmt	Yes	For	Against
10	Elect Iris Knobloch as Director	Mgmt	Yes	For	For
11	Appoint Yann Arthus-Bertrand as Censor	Mgmt	Yes	For	Against
12	Approve Compensation of Bernard Arnault, Chairman and CEO	Mgmt	Yes	For	Against
13	Approve Compensation of Antonio Belloni, Vice-CEO	Mgmt	Yes	For	Against
14	Approve Remuneration Policy of CEO and Chairman	Mgmt	Yes	For	Against
15	Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against
16	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
	Extraordinary Business	Mgmt	No		
17	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For
18	Authorize Capitalization of Reserves of Up to EUR 50 Million for Bonus Issue or Increase in Par Value	Mgmt	Yes	For	For
19	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 50 Million	Mgmt	Yes	For	For
20	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 50 Million	Mgmt	Yes	For	Against

Date range covered: 04/01/2019 to 04/30/2019

### **LVMH Moet Hennessy Louis Vuitton SE**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
21	Approve Issuance of Equity or Equity-Linked Securities for Qualified Investors, up to Aggregate Nominal Amount of EUR 50 Million	Mgmt	Yes	For	Against
22	Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	Against
23	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	Against
24	Authorize Capital Increase of Up to EUR 50 Million for Future Exchange Offers	Mgmt	Yes	For	Against
25	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For
26	Authorize up to 1 Percent of Issued Capital for Use in Stock Option Plans	Mgmt	Yes	For	Against
27	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For
28	Set Total Limit for Capital Increase to Result from All Issuance Requests at EUR 50 Million	Mgmt	Yes	For	For

### **ASML Holding NV**

**Meeting Date:** 04/24/2019

**Country:** Netherlands **Meeting Type:** Annual

Primary ISIN: NL0010273215

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt	No		
1	Open Meeting	Mgmt	No		
2	Discuss the Company's Business, Financial Situation and Sustainability	Mgmt	No		
3.a	Discuss Remuneration Policy	Mgmt	No		
3.b	Adopt Financial Statements and Statutory Reports	Mgmt	Yes	For	For
3.c	Receive Clarification on Company's Reserves and Dividend Policy	Mgmt	No		
3.d	Approve Dividends of EUR 2.10 Per Share	Mgmt	Yes	For	For
4.a	Approve Discharge of Management Board	Mgmt	Yes	For	For

# **ASML Holding NV**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
4.b	Approve Discharge of Supervisory Board	Mgmt	Yes	For	For
5	Amend Remuneration Policy	Mgmt	Yes	For	For
6	Approve 200,000 Performance Shares for Board of Management	Mgmt	Yes	For	For
7	Discussion of Updated Supervisory Board Profile	Mgmt	No		
8.a	Reelect G.J. Kleisterlee to Supervisory Board	Mgmt	Yes	For	For
8.b	Reelect A.P. Aris to Supervisory Board	Mgmt	Yes	For	For
8.c	Reelect R.D. Schwalb to Supervisory Board	Mgmt	Yes	For	For
8.d	Reelect W.H. Ziebart to Supervisory Board	Mgmt	Yes	For	For
8.e	Receive Retirement Schedule of the Supervisory Board	Mgmt	No		
9	Approve Remuneration of Supervisory Board	Mgmt	Yes	For	For
10	Ratify KPMG as Auditors	Mgmt	Yes	For	For
11.a	Grant Board Authority to Issue Shares Up to 5 Percent of Issued Capital for General Purposes	Mgmt	Yes	For	For
11.b	Authorize Board to Exclude Preemptive Rights from Share Issuances Under Item 11.a	Mgmt	Yes	For	For
11.c	Grant Board Authority to Issue or Grant Rights to Subscribe for Ordinary Shares Up to 5 Percent in Case of Merger or Acquisition	Mgmt	Yes	For	For
11.d	Authorize Board to Exclude Preemptive Rights from Share Issuances Under Item 11.c	Mgmt	Yes	For	For
12.a	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
12.b	Authorize Additional Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
13	Authorize Cancellation of Repurchased Shares	Mgmt	Yes	For	For
14	Other Business (Non-Voting)	Mgmt	No		
15	Close Meeting	Mgmt	No		

#### **RELX Plc**

Meeting Date: 04/25/2019 Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB00B2B0DG97

### **RELX Plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Remuneration Report	Mgmt	Yes	For	For
3	Approve Final Dividend	Mgmt	Yes	For	For
4	Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For
5	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For
6	Elect Andrew Sukawaty as Director	Mgmt	Yes	For	For
7	Re-elect Erik Engstrom as Director	Mgmt	Yes	For	For
8	Re-elect Sir Anthony Habgood as Director	Mgmt	Yes	For	For
9	Re-elect Wolfhart Hauser as Director	Mgmt	Yes	For	For
10	Re-elect Adrian Hennah as Director	Mgmt	Yes	For	For
11	Re-elect Marike van Lier Lels as Director	Mgmt	Yes	For	For
12	Re-elect Nick Luff as Director	Mgmt	Yes	For	For
13	Re-elect Robert MacLeod as Director	Mgmt	Yes	For	For
14	Re-elect Linda Sanford as Director	Mgmt	Yes	For	For
15	Re-elect Suzanne Wood as Director	Mgmt	Yes	For	For
16	Authorise Issue of Equity	Mgmt	Yes	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
18	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
19	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For
21	Adopt New Articles of Association	Mgmt	Yes	For	For
22	Approve Capitalisation of Merger Reserve	Mgmt	Yes	For	For
23	Approve Cancellation of Capital Reduction Share	Mgmt	Yes	For	For

### **AstraZeneca Plc**

Meeting Date: 04/26/2019

Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB0009895292

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Dividends	Mgmt	Yes	For	For
3	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For
5a	Re-elect Leif Johansson as Director	Mgmt	Yes	For	For
5b	Re-elect Pascal Soriot as Director	Mgmt	Yes	For	For
5c	Re-elect Marc Dunoyer as Director	Mgmt	Yes	For	For
5d	Re-elect Genevieve Berger as Director	Mgmt	Yes	For	For
5e	Re-elect Philip Broadley as Director	Mgmt	Yes	For	For
5f	Re-elect Graham Chipchase as Director	Mgmt	Yes	For	For
5g	Re-elect Deborah DiSanzo as Director	Mgmt	Yes	For	For
5h	Re-elect Sheri McCoy as Director	Mgmt	Yes	For	For
5i	Elect Tony Mok as Director	Mgmt	Yes	For	For
5j	Re-elect Nazneen Rahman as Director	Mgmt	Yes	For	For
5k	Re-elect Marcus Wallenberg as Director	Mgmt	Yes	For	For
6	Approve Remuneration Report	Mgmt	Yes	For	For
7	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
8	Authorise Issue of Equity	Mgmt	Yes	For	For
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
10	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
11	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
12	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For

### **Continental AG**

Meeting Date: 04/26/2019

Country: Germany Meeting Type: Annual

**Primary ISIN:** DE0005439004

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
2	Approve Allocation of Income and Dividends of EUR 4.75 per Share	Mgmt	Yes	For	For
3.1	Approve Discharge of Management Board Member Elmar Degenhart for Fiscal 2018	Mgmt	Yes	For	For
3.2	Approve Discharge of Management Board Member Jose Avila for Fiscal 2018	Mgmt	Yes	For	For
3.3	Approve Discharge of Management Board Member Hans-Juergen Duensing for Fiscal 2018	Mgmt	Yes	For	For
3.4	Approve Discharge of Management Board Member Frank Jourdan for Fiscal 2018	Mgmt	Yes	For	For
3.5	Approve Discharge of Management Board Member Helmut Matschi for Fiscal 2018	Mgmt	Yes	For	For
3.6	Approve Discharge of Management Board Member Ariane Reinhart for Fiscal 2018	Mgmt	Yes	For	For
3.7	Approve Discharge of Management Board Member Wolfgang Schaefer for Fiscal 2018	Mgmt	Yes	For	For
3.8	Approve Discharge of Management Board Member Nikolai Setzer for Fiscal 2018	Mgmt	Yes	For	For
4.1	Approve Discharge of Supervisory Board Member Wolfgang Reitzle for Fiscal 2018	Mgmt	Yes	For	For
4.2	Approve Discharge of Supervisory Board Member Christiane Benner for Fiscal 2018	Mgmt	Yes	For	For
4.3	Approve Discharge of Supervisory Board Member Gunter Dunkel for Fiscal 2018	Mgmt	Yes	For	For
4.4	Approve Discharge of Supervisory Board Member Francesco Grioli for Fiscal 2018	Mgmt	Yes	For	For
4.5	Approve Discharge of Supervisory Board Member Peter Gutzmer for Fiscal 2018	Mgmt	Yes	For	For
4.6	Approve Discharge of Supervisory Board Member Peter Hausmann for Fiscal 2018	Mgmt	Yes	For	For
4.7	Approve Discharge of Supervisory Board Member Michael Iglhaut for Fiscal 2018	Mgmt	Yes	For	For
4.8	Approve Discharge of Supervisory Board Member Klaus Mangold for Fiscal 2018	Mgmt	Yes	For	For
4.9	Approve Discharge of Supervisory Board Member Hartmut Meine for Fiscal 2018	Mgmt	Yes	For	For

### **Continental AG**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
4.10	Approve Discharge of Supervisory Board Member Sabine Neuss for Fiscal 2018	Mgmt	Yes	For	For
4.11	Approve Discharge of Supervisory Board Member Rolf Nonnenmacher for Fiscal 2018	Mgmt	Yes	For	For
4.12	Approve Discharge of Supervisory Board Member Dirk Nordmann for Fiscal 2018	Mgmt	Yes	For	For
4.13	Approve Discharge of Supervisory Board Member Klaus Rosenfeld for Fiscal 2018	Mgmt	Yes	For	For
4.14	Approve Discharge of Supervisory Board Member Georg Schaeffler for Fiscal 2018	Mgmt	Yes	For	For
4.15	Approve Discharge of Supervisory Board Member Maria-Elisabeth Schaeffler-Thumann for Fiscal 2018	Mgmt	Yes	For	For
4.16	Approve Discharge of Supervisory Board Member Joerg Schoenfelder for Fiscal 2018	Mgmt	Yes	For	For
4.17	Approve Discharge of Supervisory Board Member Stefan Scholz for Fiscal 2018	Mgmt	Yes	For	For
4.18	Approve Discharge of Supervisory Board Member Gudrun Valten for Fiscal 2018	Mgmt	Yes	For	For
4.19	Approve Discharge of Supervisory Board Member Kirsten Voerkel for Fiscal 2018	Mgmt	Yes	For	For
4.20	Approve Discharge of Supervisory Board Member Elke Volkmann for Fiscal 2018	Mgmt	Yes	For	For
4.21	Approve Discharge of Supervisory Board Member Erwin Woerle for Fiscal 2018	Mgmt	Yes	For	For
4.22	Approve Discharge of Supervisory Board Member Siegfried Wolf for Fiscal 2018	Mgmt	Yes	For	For
5	Ratify KPMG AG as Auditors for Fiscal 2019	Mgmt	Yes	For	For
6.1	Elect Gunter Dunkel to the Supervisory Board	Mgmt	Yes	For	For
6.2	Elect Satish Khatu to the Supervisory Board	Mgmt	Yes	For	For
6.3	Elect Isabel Knauf to the Supervisory Board	Mgmt	Yes	For	For
6.4	Elect Sabine Neuss to the Supervisory Board	Mgmt	Yes	For	For
6.5	Elect Rolf Nonnenmacher to the Supervisory Board	Mgmt	Yes	For	For
6.6	Elect Wolfgang Reitzle to the Supervisory Board	Mgmt	Yes	For	For
6.7	Elect Klaus Rosenfeld to the Supervisory Board	Mgmt	Yes	For	For
6.8	Elect Georg Schaeffler to the Supervisory Board	Mgmt	Yes	For	For
6.9	Elect Maria-Elisabeth Schaeffler-Thumann to the Supervisory Board	Mgmt	Yes	For	For
6.10	Elect Siegfried Wolf to the Supervisory Board	Mgmt	Yes	For	For

Date range covered: 04/01/2019 to 04/30/2019

### **Ferguson Plc**

Meeting Date: 04/29/2019

**Country:** Jersey **Meeting Type:** Special

Primary ISIN: JE00BFYFZP55

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Introduction of a New Jersey Incorporated and UK Tax-resident Holding Company	Mgmt	Yes	For	For
2	Approve Cancellation of Share Premium Account	Mgmt	Yes	For	For
3	Approve Delisting of Ordinary Shares from the Official List	Mgmt	Yes	For	For
4	Approve Change of Company Name to Ferguson Holdings Limited; Amend Memorandum of Association	Mgmt	Yes	For	For
5	Adopt New Articles of Association	Mgmt	Yes	For	For
6	Approve Employee Share Purchase Plan, International Sharesave Plan and Long Term Incentive Plan	Mgmt	Yes	For	For

### **Ferguson Plc**

Meeting Date: 04/29/2019

Country: Jersey
Meeting Type: Court

Primary ISIN: JE00BFYFZP55

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Court Meeting	Mgmt	No		
1	Approve Scheme of Arrangement	Mgmt	Yes	For	For

# Ping An Insurance (Group) Co. of China Ltd.

Meeting Date: 04/29/2019

Country: China

Meeting Type: Annual

Primary ISIN: CNE1000003X6

Date range covered: 04/01/2019 to 04/30/2019

# Ping An Insurance (Group) Co. of China Ltd.

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt	No		
1	Approve 2018 Report of the Board of Directors	Mgmt	Yes	For	For
2	Approve 2018 Report of the Supervisory Committee	Mgmt	Yes	For	For
3	Approve 2018 Annual Report and its Summary	Mgmt	Yes	For	For
4	Approve 2018 Financial Statements and Statutory Reports	Mgmt	Yes	For	For
5	Approve 2018 Profit Distribution Plan and Distribution of Final Dividends	Mgmt	Yes	For	For
6	Approve PricewaterhouseCoopers Zhong Tian LLP as PRC Auditor and PricewaterhouseCoopers as International Auditor and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For
7	Approve 2019-2021 Development Plan	Mgmt	Yes	For	For
8	Approve Performance Evaluation of Independent Non-executive Directors	Mgmt	Yes	For	For
9	Approve Issuance of Debt Financing Instruments	Mgmt	Yes	For	For
	APPROVE RESOLUTION REGARDING SHARES REPURCHASE PLAN OF THE COMPANY AND THE PROPOSED GRANT OF GENERAL MANDATE FOR THE REPURCHASE OF SHARES	Mgmt	No		
10.1	Approve Share Repurchase Plan	Mgmt	Yes	For	For
10.2	Approve Grant of General Mandate to Repurchase Shares	Mgmt	Yes	For	For
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	Mgmt	Yes	For	Against

## Ping An Insurance (Group) Co. of China Ltd.

Meeting Date: 04/29/2019 Country: China

Meeting Type: Special

Primary ISIN: CNE1000003X6

Date range covered: 04/01/2019 to 04/30/2019

# Ping An Insurance (Group) Co. of China Ltd.

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt	No		
	APPROVE RESOLUTION REGARDING SHARES REPURCHASE PLAN OF THE COMPANY AND THE PROPOSED GRANT OF GENERAL MANDATE FOR THE REPURCHASE OF SHARES	Mgmt	No		
1.1	Approve Share Repurchase Plan	Mgmt	Yes	For	For
1.2	Approve Grant of General Mandate to Repurchase Shares	Mgmt	Yes	For	For

# **Canadian National Railway Co.**

Meeting Date: 04/30/2019

**Country:** Canada **Meeting Type:** Annual

Primary ISIN: CA1363751027

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1.1	Elect Director Shauneen Bruder	Mgmt	Yes	For	For
1.2	Elect Director Donald J. Carty	Mgmt	Yes	For	For
1.3	Elect Director Gordon D. Giffin	Mgmt	Yes	For	For
1.4	Elect Director Julie Godin	Mgmt	Yes	For	For
1.5	Elect Director Edith E. Holiday	Mgmt	Yes	For	For
1.6	Elect Director V. Maureen Kempston Darkes	Mgmt	Yes	For	For
1.7	Elect Director Denis Losier	Mgmt	Yes	For	For
1.8	Elect Director Kevin G. Lynch	Mgmt	Yes	For	For
1.9	Elect Director James E. O'Connor	Mgmt	Yes	For	For
1.10	Elect Director Robert Pace	Mgmt	Yes	For	For
1.11	Elect Director Robert L. Phillips	Mgmt	Yes	For	For
1.12	Elect Director Jean-Jacques Ruest	Mgmt	Yes	For	For
1.13	Elect Director Laura Stein	Mgmt	Yes	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For

Date range covered: 04/01/2019 to 04/30/2019

### **Canadian National Railway Co.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
3	Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For

### **Muenchener Rueckversicherungs-Gesellschaft AG**

Meeting Date: 04/30/2019

**Country:** Germany **Meeting Type:** Annual

**Primary ISIN:** DE0008430026

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1.1	Receive Supervisory Board Report, Corporate Governance Report, and Remuneration Report for Fiscal 2018 (Non-Voting)	Mgmt	No		
1.2	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
2	Approve Allocation of Income and Dividends of EUR 9.25 per Share	Mgmt	Yes	For	For
3	Approve Discharge of Management Board for Fiscal 2018	Mgmt	Yes	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2018	Mgmt	Yes	For	For
5.1	Elect Ann-Kristin Achleitner to the Supervisory Board	Mgmt	Yes	For	For
5.2	Elect Kurt Bock to the Supervisory Board	Mgmt	Yes	For	For
5.3	Elect Nikolaus von Bomhard to the Supervisory Board	Mgmt	Yes	For	For
5.4	Elect Clement Booth to the Supervisory Board	Mgmt	Yes	For	For
5.5	Elect Benita Ferrero-Waldner to the Supervisory Board	Mgmt	Yes	For	For
5.6	Elect Ursula Gather to the Supervisory Board	Mgmt	Yes	For	For
5.7	Elect Gerd Haeusler to the Supervisory Board	Mgmt	Yes	For	For
5.8	Elect Renata Jungo Bruengger to the Supervisory Board	Mgmt	Yes	For	For
5.9	Elect Karl-Heinz Streibich to the Supervisory Board	Mgmt	Yes	For	For
5.10	Elect Maximilian Zimmerer to the Supervisory Board	Mgmt	Yes	For	For
6	Amend Corporate Purpose	Mgmt	Yes	For	For

# **London Stock Exchange Group Plc**

Meeting Date: 05/01/2019 Country: United Kingdom

Meeting Type: Annual

Primary ISIN: GB00B0SWJX34

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Final Dividend	Mgmt	Yes	For	For
3	Approve Remuneration Report	Mgmt	Yes	For	For
4	Re-elect Jacques Aigrain as Director	Mgmt	Yes	For	For
5	Re-elect Paul Heiden as Director	Mgmt	Yes	For	For
6	Re-elect Raffaele Jerusalmi as Director	Mgmt	Yes	For	For
7	Re-elect Stephen O'Connor as Director	Mgmt	Yes	For	For
8	Re-elect Val Rahmani as Director	Mgmt	Yes	For	For
9	Re-elect Andrea Sironi as Director	Mgmt	Yes	For	For
10	Re-elect David Warren as Director	Mgmt	Yes	For	For
11	Elect Marshall Bailey as Director	Mgmt	Yes	For	For
12	Elect Kathleen DeRose as Director	Mgmt	Yes	For	For
13	Elect Cressida Hogg as Director	Mgmt	Yes	For	For
14	Elect Don Robert as Director	Mgmt	Yes	For	For
15	Elect David Schwimmer as Director	Mgmt	Yes	For	For
16	Elect Ruth Wandhofer as Director	Mgmt	Yes	For	For
17	Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For
18	Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For
19	Authorise Issue of Equity	Mgmt	Yes	For	For
20	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
21	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
22	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
23	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For

### **Unilever NV**

Meeting Date: 05/01/2019

Country: Netherlands Meeting Type: Annual

**Primary ISIN:** NL0000009355

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt	No		
1	Discussion of the Annual Report and Accounts for the 2018 Financial Year	Mgmt	No		
2	Approve Financial Statements and Allocation of Income	Mgmt	Yes	For	For
3	Approve Remuneration Report	Mgmt	Yes	For	For
4	Approve Discharge of Executive Board Members	Mgmt	Yes	For	For
5	Approve Discharge of Non-Executive Board Members	Mgmt	Yes	For	For
6	Reelect N S Andersen as Non-Executive Director	Mgmt	Yes	For	For
7	Reelect L M Cha as Non-Executive Director	Mgmt	Yes	For	For
8	Reelect V Colao as Non-Executive Director	Mgmt	Yes	For	For
9	Reelect M Dekkers as Non-Executive Director	Mgmt	Yes	For	For
10	Reelect J Hartmann as Non-Executive Director	Mgmt	Yes	For	For
11	Reelect A Jung as Non-Executive Director	Mgmt	Yes	For	For
12	Reelect M Ma as Non-Executive Director	Mgmt	Yes	For	For
13	Reelect S Masiyiwa as Non-Executive Director	Mgmt	Yes	For	For
14	Reelect Y Moon as Non-Executive Director	Mgmt	Yes	For	For
15	Reelect G Pitkethly as Executive Director	Mgmt	Yes	For	For
16	Reelect J Rishton as Non-Executive Director	Mgmt	Yes	For	For
17	Reelect F Sijbesma as Non-Executive Director	Mgmt	Yes	For	For
18	Elect A Jope as Executive Director	Mgmt	Yes	For	For
19	Elect S Kilsby as Non-Executive Director	Mgmt	Yes	For	For
20	Ratify KPMG as Auditors	Mgmt	Yes	For	For
21	Authorize Repurchase of Up to 10 Percent of Issued Share Capital and Depositary Receipts	Mgmt	Yes	For	For
22	Approve Reduction in Share Capital through Cancellation of Ordinary Shares andDepositary Receipts Thereof	Mgmt	Yes	For	For

Date range covered: 05/01/2019 to 05/31/2019

### **Unilever NV**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
23	Grant Board Authority to Issue Shares	Mgmt	Yes	For	For
24	Authorize Board to Exclude Preemptive Rights from Share Issuances for General Corporate Purposes	Mgmt	Yes	For	For
25	Authorize Board to Exclude Preemptive Rights from Share Issuances for Acquisition Purposes	Mgmt	Yes	For	For

## **Canadian Pacific Railway Limited**

Meeting Date: 05/07/2019

Country: Canada Meeting Type: Annual

Primary ISIN: CA13645T1003

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Ratify Deloitte LLP as Auditors	Mgmt	Yes	For	For
2	Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For
3.1	Elect Director John Baird	Mgmt	Yes	For	For
3.2	Elect Director Isabelle Courville	Mgmt	Yes	For	For
3.3	Elect Director Keith E. Creel	Mgmt	Yes	For	For
3.4	Elect Director Gillian (Jill) H. Denham	Mgmt	Yes	For	For
3.5	Elect Director Rebecca MacDonald	Mgmt	Yes	For	For
3.6	Elect Director Edward L. Monser	Mgmt	Yes	For	For
3.7	Elect Director Matthew H. Paull	Mgmt	Yes	For	For
3.8	Elect Director Jane L. Peverett	Mgmt	Yes	For	For
3.9	Elect Director Gordon T. Trafton	Mgmt	Yes	For	For

### **Deutsche Boerse AG**

**Meeting Date:** 05/08/2019 **Cou** 

Country: Germany
Meeting Type: Annual

**Primary ISIN:** DE0005810055

### **Deutsche Boerse AG**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
2	Approve Allocation of Income and Dividends of EUR 2.70 per Share	Mgmt	Yes	For	For
3	Approve Discharge of Management Board for Fiscal 2018	Mgmt	Yes	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2018	Mgmt	Yes	For	For
5.1	Elect Clara-Christina Streit to the Supervisory Board	Mgmt	Yes	For	For
5.2	Elect Charles Stonehill to the Supervisory Board	Mgmt	Yes	For	For
6	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	Yes	For	For
7	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	Yes	For	For
8	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 5 Billion; Approve Creation of EUR 17.8 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	Yes	For	For
9	Approve Affiliation Agreement with Subsidiary Clearstream Beteiligungs AG	Mgmt	Yes	For	For
10	Ratify KPMG AG as Auditors for Fiscal 2019	Mgmt	Yes	For	For

## **GlaxoSmithKline Plc**

Meeting Date: 05/08/2019

Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB0009252882

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Remuneration Report	Mgmt	Yes	For	For
3	Elect Iain Mackay as Director	Mgmt	Yes	For	For

### **GlaxoSmithKline Plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
4	Re-elect Philip Hampton as Director	Mgmt	Yes	For	For
5	Re-elect Emma Walmsley as Director	Mgmt	Yes	For	For
6	Re-elect Vindi Banga as Director	Mgmt	Yes	For	For
7	Re-elect Dr Hal Barron as Director	Mgmt	Yes	For	For
8	Re-elect Dr Vivienne Cox as Director	Mgmt	Yes	For	For
9	Re-elect Lynn Elsenhans as Director	Mgmt	Yes	For	For
10	Re-elect Dr Laurie Glimcher as Director	Mgmt	Yes	For	For
11	Re-elect Dr Jesse Goodman as Director	Mgmt	Yes	For	For
12	Re-elect Judy Lewent as Director	Mgmt	Yes	For	For
13	Re-elect Urs Rohner as Director	Mgmt	Yes	For	For
14	Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For
15	Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For
16	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
17	Authorise Issue of Equity	Mgmt	Yes	For	For
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
20	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
21	Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports	Mgmt	Yes	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For

### **GlaxoSmithKline Plc**

**Meeting Date:** 05/08/2019 Country: United Kingdom Meeting Type: Special

Primary ISIN: GB0009252882

### **GlaxoSmithKline Plc**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Consumer Healthcare Joint Venture with Pfizer Inc.	Mgmt	Yes	For	For

## **Erste Group Bank AG**

Meeting Date: 05/15/2019

Country: Austria Meeting Type: Annual

Primary ISIN: AT0000652011

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
2	Approve Allocation of Income and Dividends of EUR 1.40 per Share	Mgmt	Yes	For	For
3	Approve Discharge of Management Board for Fiscal 2018	Mgmt	Yes	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2018	Mgmt	Yes	For	For
5	Ratify PwC as Auditors for Fiscal 2019	Mgmt	Yes	For	For
6	Approve Increase in Size of Supervisory Board to Thirteen Members	Mgmt	Yes	For	For
7.1	Reelect Elisabeth Senger-Weiss as Supervisory Board Member	Mgmt	Yes	For	For
7.2	Elect Matthias Bulach as Supervisory Board Member	Mgmt	Yes	For	For
7.3	Reelect Marion Khueny as Supervisory Board Member	Mgmt	Yes	For	For
7.4	Elect Michele Sutter-Ruedisser as Supervisory Board Member	Mgmt	Yes	For	For
7.5	Reelect Gunter Griss Supervisory Board Member	Mgmt	Yes	For	For
7.6	Elect Henrietta Egerth-Stadlhuber Supervisory Board Member	Mgmt	Yes	For	For
8	Authorize Repurchase of Up to Ten Percent of Issued Share Capital for Trading Purposes	Mgmt	Yes	For	For
9	Authorize Share Repurchase Program and Reissuance of Repurchased Shares to Key Employees	Mgmt	Yes	For	For

## **Erste Group Bank AG**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
10	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	Yes	For	For

### **SAP SE**

**Meeting Date:** 05/15/2019

Country: Germany

Meeting Type: Annual

**Primary ISIN:** DE0007164600

Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
Approve Allocation of Income and Dividends of EUR 1.50 per Share	Mgmt	Yes	For	For
Approve Discharge of Management Board for Fiscal 2018	Mgmt	Yes	For	For
Approve Discharge of Supervisory Board for Fiscal 2018	Mgmt	Yes	For	For
Ratify KPMG AG as Auditors for Fiscal 2019	Mgmt	Yes	For	For
Elect Hasso Plattner to the Supervisory Board	Mgmt	Yes	For	For
Elect Pekka Ala-Pietila to the Supervisory Board	Mgmt	Yes	For	For
Elect Aicha Evans to the Supervisory Board	Mgmt	Yes	For	For
Elect Diane Greene to the Supervisory Board	Mgmt	Yes	For	For
Elect Gesche Joost to the Supervisory Board	Mgmt	Yes	For	For
Elect Bernard Liautaud to the Supervisory Board	Mgmt	Yes	For	For
Elect Gerhard Oswald to the Supervisory Board	Mgmt	Yes	For	For
Elect Friederike Rotsch to the Supervisory Board	Mgmt	Yes	For	For
Elect Gunnar Wiedenfels to the Supervisory Board	Mgmt	Yes	For	For
	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)  Approve Allocation of Income and Dividends of EUR 1.50 per Share  Approve Discharge of Management Board for Fiscal 2018  Approve Discharge of Supervisory Board for Fiscal 2018  Ratify KPMG AG as Auditors for Fiscal 2019  Elect Hasso Plattner to the Supervisory Board  Elect Pekka Ala-Pietila to the Supervisory Board  Elect Aicha Evans to the Supervisory Board  Elect Gesche Joost to the Supervisory Board  Elect Gerhard Ciautaud to the Supervisory Board  Elect Gerhard Oswald to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)  Approve Allocation of Income and Dividends of EUR 1.50 per Share  Approve Discharge of Management Board for Fiscal 2018  Approve Discharge of Supervisory Board for Fiscal 2018  Ratify KPMG AG as Auditors for Fiscal 2019  Mgmt  Elect Hasso Plattner to the Supervisory Board  Elect Pekka Ala-Pietila to the Supervisory Board  Elect Aicha Evans to the Supervisory Board  Mgmt  Elect Gesche Joost to the Supervisory Board  Elect Bernard Liautaud to the Supervisory Board  Elect Gerhard Oswald to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Gunnar Wiedenfels to the Supervisory Mgmt  Elect Gunnar Wiedenfels to the Supervisory	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)  Approve Allocation of Income and Dividends of EUR 1.50 per Share  Approve Discharge of Management Board for Fiscal 2018  Approve Discharge of Supervisory Board for Fiscal 2018  Ratify KPMG AG as Auditors for Fiscal 2019  Mgmt Yes  Elect Hasso Plattner to the Supervisory Board  Mgmt Yes  Elect Pekka Ala-Pietila to the Supervisory Board  Elect Aicha Evans to the Supervisory Board  Mgmt Yes  Elect Gesche Joost to the Supervisory Board  Mgmt Yes  Elect Gesche Joost to the Supervisory Board  Elect Gerhard Oswald to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Friederike Rotsch to the Supervisory Board  Elect Gunnar Wiedenfels to the Supervisory Mgmt Yes  Mgmt Yes  Mgmt Yes	Proposal Text         Proponent         Proposal         Mgmt Rec           Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)         Mgmt         No           Approve Allocation of Income and Dividends of EUR 1.50 per Share         Mgmt         Yes         For           Approve Discharge of Management Board for Fiscal 2018         Mgmt         Yes         For           Approve Discharge of Supervisory Board for Fiscal 2018         Mgmt         Yes         For           Ratify KPMG AG as Auditors for Fiscal 2019         Mgmt         Yes         For           Elect Hasso Plattner to the Supervisory Board         Mgmt         Yes         For           Elect Pekka Ala-Pietila to the Supervisory Board         Mgmt         Yes         For           Elect Diane Greene to the Supervisory Board         Mgmt         Yes         For           Elect Bernard Liautaud to the Supervisory Board         Mgmt         Yes         For           Elect Bernard Liautaud to the Supervisory Board         Mgmt         Yes         For           Elect Bernard Liautaud to the Supervisory Board         Mgmt         Yes         For           Elect Gerhard Oswald to the Supervisory Board         Mgmt         Yes         For           Elect Gerhard Oswald to the Supervisory Board         Mgmt         Yes </td

Date range covered: 05/01/2019 to 05/31/2019

### **Tencent Holdings Limited**

Meeting Date: 05/15/2019

**Country:** Cayman Islands **Meeting Type:** Annual

Primary ISIN: KYG875721634

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Final Dividend	Mgmt	Yes	For	For
3a	Elect Jacobus Petrus (Koos) Bekker as Director	Mgmt	Yes	For	For
3b	Elect Ian Charles Stone as Director	Mgmt	Yes	For	For
3c	Authorize Board to Fix Remuneration of Directors	Mgmt	Yes	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	Yes	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	Yes	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	Yes	For	Against

## **Tencent Holdings Limited**

**Meeting Date:** 05/15/2019

**Country:** Cayman Islands **Meeting Type:** Special

Primary ISIN: KYG875721634

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Refreshment of Scheme Mandate Limit Under the Share Option Scheme	Mgmt	Yes	For	Against

### **CK Asset Holdings Ltd.**

Meeting Date: 05/16/2019

**Country:** Cayman Islands **Meeting Type:** Annual

Primary ISIN: KYG2177B1014

## **CK Asset Holdings Ltd.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Final Dividend	Mgmt	Yes	For	For
3.1	Elect Kam Hing Lam as Director	Mgmt	Yes	For	Against
3.2	Elect Chung Sun Keung, Davy as Director	Mgmt	Yes	For	Against
3.3	Elect Pau Yee Wan, Ezra as Director	Mgmt	Yes	For	Against
3.4	Elect Woo Chia Ching, Grace as Director	Mgmt	Yes	For	Against
3.5	Elect Donald Jeffrey Roberts as Director	Mgmt	Yes	For	For
4	Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For
5	Approve Annual Fees Payable to the Directors of the Company for each Financial Year	Mgmt	Yes	For	For
6.1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	Yes	For	For
6.2	Authorize Repurchase of Issued Share Capital	Mgmt	Yes	For	For

## **AIA Group Limited**

Meeting Date: 05/17/2019

Country: Hong Kong Meeting Type: Annual

Primary ISIN: HK0000069689

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2A	Approve Special Dividend	Mgmt	Yes	For	For
2B	Approve Final Dividend	Mgmt	Yes	For	For
3	Elect Swee-Lian Teo as Director	Mgmt	Yes	For	For
4	Elect Narongchai Akrasanee as Director	Mgmt	Yes	For	For
5	Elect George Yong-Boon Yeo Director	Mgmt	Yes	For	For
6	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For

## **AIA Group Limited**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
7A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	Yes	For	For
7B	Authorize Repurchase of Issued Share Capital	Mgmt	Yes	For	For
7C	Approve Allotment and Issuance of Additional Shares Under the Restricted Share Unit Scheme	Mgmt	Yes	For	For
8	Approve Increase in Rate of Directors' Fees	Mgmt	Yes	For	For
9	Amend Articles of Association	Mgmt	Yes	For	For

## **Legal & General Group Plc**

Meeting Date: 05/23/2019

Country: United Kingdom Meeting Type: Annual

Primary ISIN: GB0005603997

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Final Dividend	Mgmt	Yes	For	For
3	Elect Henrietta Baldock as Director	Mgmt	Yes	For	For
4	Elect George Lewis as Director	Mgmt	Yes	For	For
5	Re-elect Philip Broadley as Director	Mgmt	Yes	For	For
6	Re-elect Jeff Davies as Director	Mgmt	Yes	For	For
7	Re-elect Sir John Kingman as Director	Mgmt	Yes	For	For
8	Re-elect Lesley Knox as Director	Mgmt	Yes	For	For
9	Re-elect Kerrigan Procter as Director	Mgmt	Yes	For	For
10	Re-elect Toby Strauss as Director	Mgmt	Yes	For	For
11	Re-elect Julia Wilson as Director	Mgmt	Yes	For	For
12	Re-elect Nigel Wilson as Director	Mgmt	Yes	For	For
13	Re-elect Mark Zinkula as Director	Mgmt	Yes	For	For
14	Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For
15	Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For
16	Approve Remuneration Report	Mgmt	Yes	For	For

## **Legal & General Group Pic**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
17	Authorise Issue of Equity	Mgmt	Yes	For	For
18	Authorise Issue of Equity in Connection with the Issue of Contingent Convertible Securities	Mgmt	Yes	For	For
19	Authorise EU Political Donations and Expenditure	Mgmt	Yes	For	For
20	Approve Savings-Related Share Option Scheme	Mgmt	Yes	For	For
21	Approve Employee Share Plan	Mgmt	Yes	For	For
22	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For
23	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For
24	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Issue of Contingent Convertible Securities	Mgmt	Yes	For	For
25	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For
26	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For

### **Safran SA**

Meeting Date: 05/23/2019

Country: France

Meeting Type: Annual/Special

**Primary ISIN:** FR0000073272

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Ordinary Business	Mgmt	No		
1	Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For
3	Approve Allocation of Income and Dividends of EUR 1.82 per Share	Mgmt	Yes	For	For
4	Reelect Ross McInnes as Director	Mgmt	Yes	For	For
5	Reelect Philippe Petitcolin as Director	Mgmt	Yes	For	For
6	Reelect Jean-Lou Chameau as Director	Mgmt	Yes	For	For
7	Elect Laurent Guillot as Director	Mgmt	Yes	For	For

### **Safran SA**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
8	Ratify Appointment of Caroline Laurent as Director	Mgmt	Yes	For	For
9	Reelect Vincent Imbert as Director	Mgmt	Yes	For	For
10	Approve Compensation of Ross McInnes, Chairman of the Board	Mgmt	Yes	For	For
11	Approve Compensation of Philippe Petitcolin, CEO	Mgmt	Yes	For	For
12	Approve Remuneration Policy of the Chairman of the Board	Mgmt	Yes	For	For
13	Approve Remuneration Policy of the CEO	Mgmt	Yes	For	For
14	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For
	Extraordinary Business	Mgmt	No		
15	Amend Article 14.8 of Bylaws Re: Employee Representative	Mgmt	Yes	For	For
16	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 20 Million	Mgmt	Yes	For	For
17	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 8 Million	Mgmt	Yes	For	For
18	Authorize Capital Increase of Up to EUR 8 Million for Future Exchange Offers	Mgmt	Yes	For	For
19	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 8 Million	Mgmt	Yes	For	For
20	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 16 to 19	Mgmt	Yes	For	For
21	Authorize Capitalization of Reserves of Up to EUR 12.5 Million for Bonus Issue or Increase in Par Value	Mgmt	Yes	For	For
22	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 8 Million, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For
23	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 8 Million, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For
24	Authorize Capital Increase of Up to EUR 8 Million for Future Exchange Offers, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For

### **Safran SA**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
25	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 8 Million, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For
26	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 22 to 25, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For
27	Authorize Capitalization of Reserves of Up to EUR 8 Million for Bonus Issue or Increase in Par Value, Only In the Event of a Public Tender Offer	Mgmt	Yes	For	For
28	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For
29	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For
30	Authorize up to 0.4 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For
	Ordinary Business	Mgmt	No		
31	Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For

Date range covered: 06/01/2019 to 06/30/2019

## **Taiwan Semiconductor Manufacturing Co., Ltd.**

Meeting Date: 06/05/2019 Country: Taiwan

Meeting Type: Annual

Primary ISIN: TW0002330008

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt	No		
1	Approve Business Operations Report and Financial Statements	Mgmt	Yes	For	For
2	Approve Plan on Profit Distribution	Mgmt	Yes	For	For
3	Approve Amendments to Articles of Association	Mgmt	Yes	For	For
4	Approve Amendments to Trading Procedures Governing Derivatives Products and Procedures Governing the Acquisition or Disposal of Assets	Mgmt	Yes	For	For
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt	No		
5.1	Elect Moshe N. Gavrielov with ID No. 505930XXX as Independent Director	Mgmt	Yes	For	For

### **Delivery Hero SE**

**Meeting Date:** 06/12/2019

**Country:** Germany **Meeting Type:** Annual

Primary ISIN: DE000A2E4K43

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2018 (Non-Voting)	Mgmt	No		
2	Approve Discharge of Management Board for Fiscal 2018	Mgmt	Yes	For	For
3.1	Approve Discharge of Supervisory Board Member Martin Enderle for Fiscal 2018	Mgmt	Yes	For	For
3.2	Approve Discharge of Supervisory Board Member Hilary Gosher for Fiscal 2018	Mgmt	Yes	For	For
3.3	Approve Discharge of Supervisory Board Member Bjoern Ljungberg for Fiscal 2018	Mgmt	Yes	For	For
3.4	Approve Discharge of Supervisory Board Member Patrick Kolek for Fiscal 2018	Mgmt	Yes	For	For

## **Delivery Hero SE**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
3.5	Approve Discharge of Supervisory Board Member Vera Stachowiak for Fiscal 2018	Mgmt	Yes	For	For
3.6	Approve Discharge of Supervisory Board Member Semih Yalcin for Fiscal 2018	Mgmt	Yes	For	For
3.7	Approve Discharge of Supervisory Board Member Jonathan Green for Fiscal 2018	Mgmt	Yes	For	For
3.8	Approve Discharge of Supervisory Board Member Jeffrey Lieberman for Fiscal 2018	Mgmt	Yes	For	For
3.9	Approve Discharge of Supervisory Board Member Georg Graf von Waldersee for Fiscal 2018	Mgmt	Yes	For	For
3.10	Approve Discharge of Supervisory Board Member Janis Zech for Fiscal 2018	Mgmt	Yes	For	For
4	Ratify KPMG AG as Auditors for Fiscal 2019	Mgmt	Yes	For	For
5	Approve Creation of EUR 54.1 Million Pool of Capital without Preemptive Rights	Mgmt	Yes	For	Against
6	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 2 Billion; Approve Creation of EUR 61.2 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	Yes	For	Against
7	Amend Articles Re: AGM Convocation	Mgmt	Yes	For	For
8	Approve Remuneration of Supervisory Board	Mgmt	Yes	For	For
9	Amend Articles Re: Number of Employee Representatives in the Supervisory Board	Mgmt	Yes	For	For
10	Amend Articles Re: Annulment of the Court and Arbitration Proceedings Clause	Mgmt	Yes	For	For
11	Approve Stock Option Plan for Key Employees; Approve Creation of EUR 3 Million Pool of Conditional Capital to Guarantee Conversion Rights	Mgmt	Yes	For	For
12	Amend 2017 Stock Option Plan and Connected Pool of Conditional Capital	Mgmt	Yes	For	For

## **KEYENCE Corp.**

Meeting Date: 06/14/2019	Country: Japan Meeting Type: Annual
	Primary ISIN: JP3236200006

## **KEYENCE Corp.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 100	Mgmt	Yes	For	Against
2.1	Elect Director Takizaki, Takemitsu	Mgmt	Yes	For	Against
2.2	Elect Director Yamamoto, Akinori	Mgmt	Yes	For	Against
2.3	Elect Director Kimura, Keiichi	Mgmt	Yes	For	For
2.4	Elect Director Yamaguchi, Akiji	Mgmt	Yes	For	For
2.5	Elect Director Miki, Masayuki	Mgmt	Yes	For	For
2.6	Elect Director Nakata, Yu	Mgmt	Yes	For	For
2.7	Elect Director Kanzawa, Akira	Mgmt	Yes	For	For
2.8	Elect Director Tanabe, Yoichi	Mgmt	Yes	For	For
2.9	Elect Director Taniguchi, Seiichi	Mgmt	Yes	For	For
3	Appoint Statutory Auditor Komura, Koichiro	Mgmt	Yes	For	Against
4	Appoint Alternate Statutory Auditor Yamamoto, Masaharu	Mgmt	Yes	For	For

### **Komatsu Ltd.**

Meeting Date: 06/18/2019

Country: Japan Meeting Type: Annual

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 59	Mgmt	Yes	For	For
2.1	Elect Director Ohashi, Tetsuji	Mgmt	Yes	For	For
2.2	Elect Director Ogawa, Hiroyuki	Mgmt	Yes	For	For
2.3	Elect Director Urano, Kuniko	Mgmt	Yes	For	For
2.4	Elect Director Oku, Masayuki	Mgmt	Yes	For	Against
2.5	Elect Director Yabunaka, Mitoji	Mgmt	Yes	For	For
2.6	Elect Director Kigawa, Makoto	Mgmt	Yes	For	For
2.7	Elect Director Moriyama, Masayuki	Mgmt	Yes	For	For

Date range covered: 06/01/2019 to 06/30/2019

### Komatsu Ltd.

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
2.8	Elect Director Mizuhara, Kiyoshi	Mgmt	Yes	For	For
3	Appoint Statutory Auditor Shinotsuka, Eiko	Mgmt	Yes	For	For

### **PT Bank Central Asia Tbk**

Meeting Date: 06/20/2019

**Country:** Indonesia **Meeting Type:** Special

**Primary ISIN:** ID1000109507

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Share Acquisition Plan	Mgmt	Yes	For	For

### **Unilever NV**

Meeting Date: 06/26/2019

**Country:** Netherlands **Meeting Type:** Special

Primary ISIN: NL0000009355

Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
s Mgmt	No		
Mgmt	No		
Mgmt	Yes	For	For
Mgmt	No		
Mgmt	No		
-	Mgmt Mgmt Mgmt Mgmt Mgmt	Proponent Proposal  Mgmt No  Mgmt No  Mgmt Yes  Mgmt No	Proponent Proposal Mgmt Rec  S Mgmt No  Mgmt No  Mgmt Yes For  Mgmt No

### **FANUC Corp.**

**Meeting Date:** 06/27/2019

**Country:** Japan **Meeting Type:** Annual

## **FANUC Corp.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 404.92	Mgmt	Yes	For	For
2.1	Elect Director Inaba, Yoshiharu	Mgmt	Yes	For	Against
2.2	Elect Director Yamaguchi, Kenji	Mgmt	Yes	For	Against
2.3	Elect Director Uchida, Hiroyuki	Mgmt	Yes	For	For
2.4	Elect Director Gonda, Yoshihiro	Mgmt	Yes	For	For
2.5	Elect Director Saito, Yutaka	Mgmt	Yes	For	For
2.6	Elect Director Inaba, Kiyonori	Mgmt	Yes	For	For
2.7	Elect Director Noda, Hiroshi	Mgmt	Yes	For	For
2.8	Elect Director Richard E. Schneider	Mgmt	Yes	For	For
2.9	Elect Director Tsukuda, Kazuo	Mgmt	Yes	For	For
2.10	Elect Director Imai, Yasuo	Mgmt	Yes	For	For
2.11	Elect Director Ono, Masato	Mgmt	Yes	For	For
3.1	Appoint Statutory Auditor Kohari, Katsuo	Mgmt	Yes	For	For
3.2	Appoint Statutory Auditor Mitsumura, Katsuya	Mgmt	Yes	For	For
3.3	Appoint Statutory Auditor Yokoi, Hidetoshi	Mgmt	Yes	For	For

## **Shin-Etsu Chemical Co., Ltd.**

Meeting Date: 06/27/2019

Country: Japan Meeting Type: Annual

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 100	Mgmt	Yes	For	For
2.1	Elect Director Saito, Yasuhiko	Mgmt	Yes	For	Against
2.2	Elect Director Ishihara, Toshinobu	Mgmt	Yes	For	Against
2.3	Elect Director Ueno, Susumu	Mgmt	Yes	For	Against
2.4	Elect Director Matsui, Yukihiro	Mgmt	Yes	For	Against

## **Shin-Etsu Chemical Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
2.5	Elect Director Miyajima, Masaki	Mgmt	Yes	For	Against
2.6	Elect Director Frank Peter Popoff	Mgmt	Yes	For	Against
2.7	Elect Director Miyazaki, Tsuyoshi	Mgmt	Yes	For	Against
2.8	Elect Director Fukui, Toshihiko	Mgmt	Yes	For	Against
2.9	Elect Director Kasahara, Toshiyuki	Mgmt	Yes	For	Against
2.10	Elect Director Maruyama, Kazumasa	Mgmt	Yes	For	Against
3.1	Appoint Statutory Auditor Okamoto, Hiroaki	Mgmt	Yes	For	For
3.2	Appoint Statutory Auditor Nagano, Kiyoshi	Mgmt	Yes	For	Against
3.3	Appoint Statutory Auditor Onezawa, Hidenori	Mgmt	Yes	For	For
4	Approve Stock Option Plan	Mgmt	Yes	For	For

## **SMC Corp. (Japan)**

Meeting Date: 06/27/2019

Country: Japan Meeting Type: Annual

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 200	Mgmt	Yes	For	Against
2.1	Elect Director Takada, Yoshiyuki	Mgmt	Yes	For	Against
2.2	Elect Director Maruyama, Katsunori	Mgmt	Yes	For	Against
2.3	Elect Director Usui, Ikuji	Mgmt	Yes	For	Against
2.4	Elect Director Kosugi, Seiji	Mgmt	Yes	For	For
2.5	Elect Director Satake, Masahiko	Mgmt	Yes	For	For
2.6	Elect Director Takada, Yoshiki	Mgmt	Yes	For	Against
2.7	Elect Director Isoe, Toshio	Mgmt	Yes	For	For
2.8	Elect Director Ota, Masahiro	Mgmt	Yes	For	For
2.9	Elect Director Kaizu, Masanobu	Mgmt	Yes	For	For
2.10	Elect Director Kagawa, Toshiharu	Mgmt	Yes	For	For
3.1	Appoint Statutory Auditor Moriyama, Naoto	Mgmt	Yes	For	For
3.2	Appoint Statutory Auditor Toyoshi, Arata	Mgmt	Yes	For	For

## **SMC Corp. (Japan)**

Proposal Number	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	Vote Instruction
3.3	Appoint Statutory Auditor Uchikawa, Haruya	Mgmt	Yes	For	For
4	Approve Director Retirement Bonus	Mgmt	Yes	For	For